



1638 #13
ATTORNEY DOCKET: 61819.00101
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re: Patent application of:
Man Chiang Niu

Serial No.: 09/740,582

Group Art Unit: 1638

Filed: December 19, 2000

Examiner: Stuart F. Baum

For: METHODS FOR EFFECTUATING
mRNA TRANSFER OF GENETIC
INFORMATION BETWEEN SPECIES
AND PRODUCT OF THE SAME

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	<u>Sherry Barag</u> Type or print name of person

Commissioner for Patents
Washington, D.C. 20231

Sir:

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Submission in this statement is not to be construed as an admission that the information submitted

herewith is "material" to the examination of this application or to the patentability of the invention, as the term "material" is defined in 37 C.F.R. §1.56(b).

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b), but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:


Certification in Accordance with §1.97(e) is attached.

Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

Please charge any deficiency or credit any overpayment to Deposit Account No. 50-1943. This form is submitted in duplicate.

Respectfully submitted,

Date: 9 January 2003


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Practitioner's Docket No. 61819.00101

PATENT

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In re application of: Man Chiang Niu

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STATEMENT FOR INFORMATION DISCLOSURE
UNDER 37 C.F.R. § 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT
FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Third Supplemental Information Disclosure Statement accompanying this statement.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10*

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TRANSMISSION

G facsimile transmitted to the Patent and Trademark Office, (703) _____

Date: January 9, 2003

Signature

Sherry Barag

(type or print name of person certifying)

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Statement for Information Disclosure Under 37 C.F.R. §§ 1.97(e)--page 1 of 2

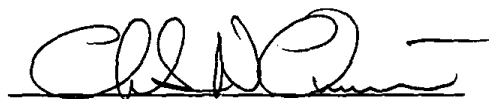
STATEMENT

2. I, the person signing below, state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this third supplemental information disclosure statement. 37 C.F.R. § 1.97(e)(1).

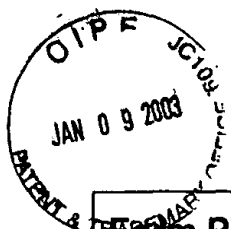
IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3. The person making this certification is:
- (a) the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 9 January 2003



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Form PTO-1449 Modified				Client Matter No. 61819.00101		Serial No. 09/740,582	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Man Chiang Niu		RECEIVED JAN 15 2003	
				Filing Date 12/19/00			
U.S. Department of Commerce Patent and Trademark Office				Group 1638		TECH CENTER 1600/2900	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	AA	4,956,282	9/1990	Goodman et al.	435	69.51	
	AB	5,215,912	6/1993	Hoffman	435	240.4	
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Country	Translation YES NO		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AC	Gordon-Kamm, W.J. <i>et al.</i> , Transformation of Maize Cells and Regeneration of Fertile Transgenic Plants. The Plant Cell. 2:603 (1990)					
	AD	First Written Opinion dated December 27, 2002 from corresponding international application No. PCT/US01/06104 filed February 27, 2001					
EXAMINER				DATE CONSIDERED			